

PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : David Martin
FOR : METHOD AND DEVICE FOR
PREVENTING CHECK FRAUD
SERIAL NO. : 09/345,202
FILED : June 30, 1999
LAST OFFICE ACTION : UNKNOWN
EXAMINER : UNKNOWN
GROUP ART UNIT : 2876
ATTORNEY DOCKET NO. : 0236.0006

Akron, OH 44333-1343

September 13, 1999

CERTIFICATE OF MAILING

I hereby certify that this **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56 and §1.97** is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on this 13th day of September, 1999.

By: Carol E. Nisly
Carol E. Nisly

Commissioner of Patents
and Trademarks
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56 and §1.97

Dear Sir:

In accordance with 37 C.F.R. § 1.56 the applicant files this Information Disclosure Statement (IDS) under one of the following five circumstances:

1. ☐ **No Information to disclose:** applicant has no information to disclose at this time.
2. ☐ **Under § 1.97(i):** this IDS is filed with the knowledge that it will NOT be considered.
 - this IDS is filed BEFORE the grant of a patent; AND
 - this IDS does NOT comply with the requirements noted below
 - applicant notes that the information disclosed herein WILL be placed in the file
3. ☒ **Under § 1.97(b):** this IDS should be considered because it is being filed
 - within 3 months of the filing date of a national application OR
 - within 3 months of the date of entry of the national stage of an international appl. OR
 - before the mailing date of a first Office Action on the merits, whichever occurs last.
4. ☐ **Under § 1.97(c):** this IDS should be considered because it is being filed
 - after the period specified Under § 1.97(b) above but BEFORE either
 - the mailing date of a final action under § 1.113 OR
 - the mailing date of a notice of allowance under § 1.311, whichever occurs last,
 - AND is accompanied by either:

A) ☐ one of the following statements under § 1.97(e):

I, Daniel A. Thomson, the undersigned hereby state:

(1) ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, OR

(2) ☐ To the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than 3 months prior to the filing of this statement; OR

B) ☐ A check in the amount of \$ 240.00 is enclosed to cover the Information Disclosure Statement (IDS) Fee under 37 C.F.R. § 1.17(p) as required when neither item (1) nor (2) above are selected.

5. ☐ **Under § 1.97(d):** this IDS should be considered because it is being filed
 - after the period specified Under § 1.97(c) above but
 - ON OR BEFORE payment of the issue fee,
 - and is accompanied by:

A) ☐ one of the following statements under § 1.97(e):

I, Daniel A. Thomson, the undersigned hereby state:

(1) _____ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, OR

(2) _____ To the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than 3 months prior to the filing of this statement; AND

B) _____ a petition requesting consideration of the IDS; AND

C) _____ A check in the amount of \$ 130.00 is enclosed for the petition fee as set forth under 37 C.F.R. § 1.17(i).

In accordance with § 1.56 and § 1.97 the references listed below and on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of these cited documents are enclosed.

English-Language Documents

Patentee	Patent No.	Issue Date
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Foreign-Language Documents

Country	Patent No.	Issue Date
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Other Documents

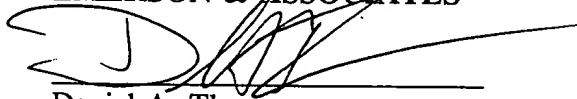
Smart Checks

X Please charge deposit account No. 05-0875 if any additional fees are required.

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO-1449 with initials or other appropriate marks.

Respectfully submitted,

EMERSON & ASSOCIATES



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September 13, 1989
Date

PATENT AND TRADEMARK OFFICE

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
0236.0006	09 / 345,202
APPLICANT	
David Martin	
FILING DATE	GROUP
June 30, 1999	2876

[illegible][illegible]

		Smart Checks

EXAMINER	DATE CONSIDERED
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